

**ANTI - MONEY LAUNDERING & COMBATING FINANCING OF TERRORISM  
 QUESTIONNAIRE FOR CORESPONDENT RELATIONSHIP**

**A BASIC INFORMATION**

- 1 Name of the Institution : Shahjalal Islami Bank PLC
- 2 Registered Address : Shahjalal Islami Bank Tower, Block # CWN(C), Plot # 4,  
 Gulshan Avenue, Gulshan, Dhaka-1212, Bangladesh
- 3 Website Address : [www.sjiblb.com](http://www.sjiblb.com)
- 4 Principal Business Activities : Islami Shariah Based Commercial Banking ( Deposit, Corporate  
 Banking, Foreign Trade & Remittance, Retail Banking, SME/ Agri Banking)
- 5 Regulatory Authority : Bangladesh Bank (Central Bank of Bangladesh)
- 6 Operational Status : Active
- Does your Bank maintain a physical presence in the licensing country?  Yes  No

**B OWNERSHIP / MANAGEMENT**

- 7 Is your institution listed on any stock exchange?  Yes  No  
 If so, which stock exchange?  
 a. Dhaka Stock Exchange PLC  
 b. Chittagong Stock Exchange PLC
- 8 If "No" to Q7, please provide a list of the major shareholders holding more than  
 10% shares in your institution.

**C ANTI-MONEY LAUNDERING AND TERRORIST FINANCING CONTROLS**

If you answer "no" to any question, additional information can be supplied at the end of the  
 questionnaire.

**I. General AML&CFT Policies, Practices and Procedures:**

- 9 Does your institution have in place policies and procedures approved by your  
 institution's board or senior management to prevent Money Laundering and  
 Combat Financing of Terrorism?  Yes  No
- 10 Does your institution have a legal and regulatory compliance program that  
 includes a designated officer that is responsible for coordinating and  
 overseeing the AML/CFT framework?  Yes  No
- 11 Has your institution developed written policies documenting the processes that  
 they have in place to prevent, detect and report suspicious transactions?  Yes  No
- 12 Does your institution have a policy prohibiting accounts/relationships with shell  
 banks? (A shell bank is defined as a bank incorporated in a jurisdiction in which  
 it has no physical presence and which is unaffiliated with a regulated financial  
 group.)  Yes  No
- 13 Does your institution permit the opening of anonymous or numbered accounts  
 by customers?  Yes  No
- 14 Does your institution have policies to reasonably ensure that they will not  
 conduct transactions with or on behalf of shell banks through any of its  
 accounts or products?  Yes  No
- 15 Does your institution have policies covering relationships with Politically  
 Exposed Persons (PEP's), their family and close associates?  Yes  No
- 16 Does your institution have policies and procedures that require keeping all the  
 records related to customer identification and their transactions?  
 If "Yes", for how long? Five Years  Yes  No

**II. Risk Assessment**

- 17 Does your institution have a risk-based assessment of its customer base and  
 their transactions?  Yes  No
- 18 Does your institution determine the appropriate level of enhanced due diligence  Yes  No





necessary for those categories of customers and transactions that the FI has reason to believe pose a heightened risk of illicit activities at or through the FI?

**III. Know Your Customer, Due Diligence and Enhanced Due Diligence**

- 19 Has your institution implemented processes for the identification of those customers on whose behalf it maintains or operates accounts or conducts transactions?  Yes  No
- 20 Does your institution have a requirement to collect information regarding its customers' business activities?  Yes  No
- 21 Does your institution have a process to review and, where appropriate, update customer information relating to high risk client information?  Yes  No
- 22 Does your institution have procedures to establish a record for each new customer noting their respective identification documents and 'Know Your Customer' information?  Yes  No
- 23 Does your institution complete a risk-based assessment to understand the normal and expected transactions of its customers?  Yes  No

**IV. Reportable Transactions for Prevention and Detection of ML/TF**

- 24 Does your institution have policies or practices for the identification and reporting of transactions that are required to be reported to the authorities?  Yes  No
- 25 Where cash transaction reporting is mandatory, does your institution have procedures to identify transactions structured to avoid such obligations?  Yes  No
- 26 Does your institution screen customers and transactions against lists of persons, entities or countries issued by government/competent authorities or under the UN Security Council Resolution?  Yes  No
- 27 Does your institution have policies to reasonably ensure that it only operates with correspondent banks that possess licenses to operate in their countries of origin?  Yes  No

**IV. Transaction Monitoring**

- 28 Does your institution have a monitoring program for unusual and potentially suspicious activity that covers funds transfers and monetary instruments such as traveler's checks, money orders, etc?  Yes  No

**V. AML Training**

- 29 Does your institution provide AML& CFT training to relevant employees of your organization?  Yes  No
- 30 Does your institution communicate new AML related laws or changes to existing AML related policies or practices to relevant employees?  Yes  No
- 31 Does your institution provide AML training to relevant third parties if they are employed to carry out some of the functions of your organization?  Yes  No

**Space for additional information:**

(Please indicate which question the information is referring to.)

.....  
 .....  
 .....



